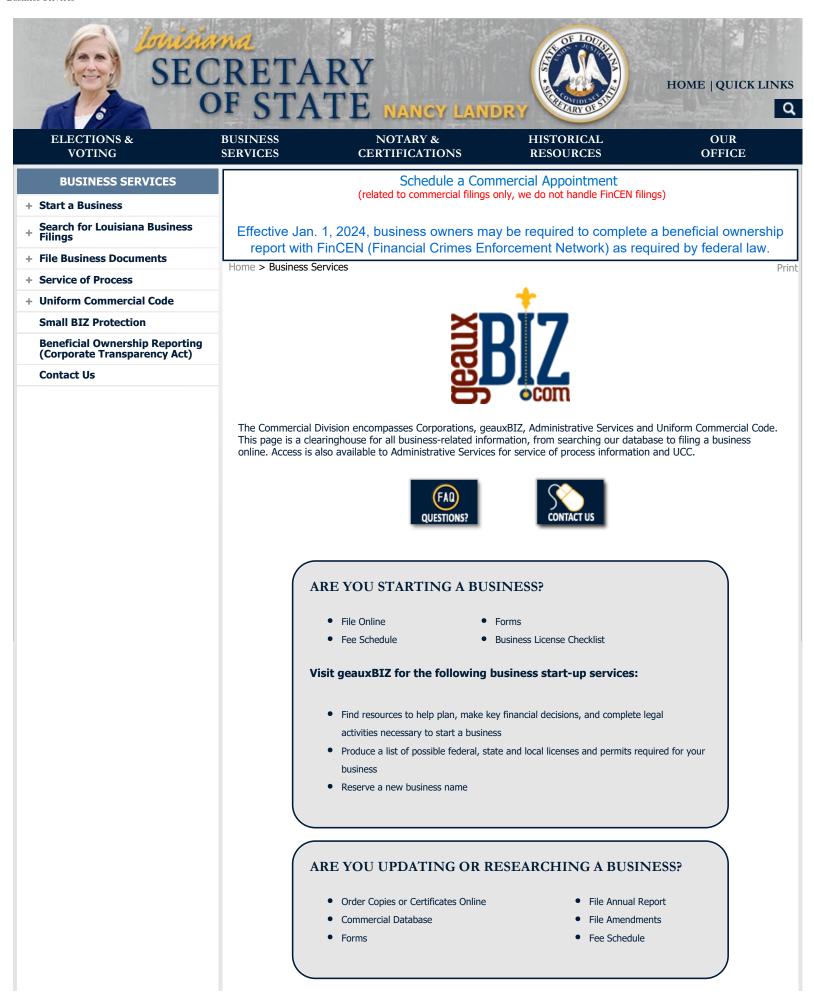
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Beneficial Ownership Reporting (Corporate Transparency Act)		promulgate administrative rules to pr at set broad policy. Visit the Division	-				
Contact Us	Louisiana Administrative Code.						
	Title 10. Financial Institutions, Consumer Credit, Investment Securities and UCC (Part XIX Uniform Commercial Code)						
	Title 19. Corporations and Business (Part V Secretary of State)						
	Permanent Rules						
	Title 10. Financial Institutions, Consumer Credit, Investment Securities and UCC						
	Part XIX. Uniform Commercial Code						
	Title 19. Corporations and Business						
	Part V. Secreta	ary of State					
	Chapter	rs 1-13. Corporations, Partnerships a	nd Limited Liability Companies				
	Rules (Includes Additions, Deletions and Amendments to New or Existing Rules)						
	Title 19. Corporations and Business						
	Part V. Secreta	ary of State					
	Chapter	rs 1-13. Secure Business Filings (Ado r 1. Section 101. Uniform Statutory P rs 1-13. Secure Business Filings (Ado	ower of Attorney for Military Person	nel (Repealed 10.20.17)			
	Emergency Rules						
	Title 19. Corporations	and Business					
	Part V. Secreta	ary of State					
	Chapter	r 3. Home Service Contract Providers	(Adopted 1.19.16)				
	Notice of Intent						
	Title 10. Financial Ins	titutions, Consumer Credit, Investme	ent Securities and UCC				
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- Chapter 7. Foreign Corporations (Published 9.20.15)
- Notice of Intent- Optional Commercial API (Published 3.20.2020)
- Public Hearing Rescheduled
- Public Hearing (07.10.2020)
- Notice of Intent- Opional Commercial API (Published 7.30.2020)

Legislative Narrative Reports Submitted

Title 10. Financial Institutions, Consumer Credit, Investment Securities and UCC

Part XIX. Uniform Commercial Code (Submitted 9.5.13) Part XIX. Uniform Commercial Code (Amended) (Submitted 6.8.14)

Title 19. Corporations and Business

Part V. Secretary of State

- Chapters 1-13. Secured Business Filings (Initial Report Submitted 3.10.16)
- Chapters 1-13. Secured Business Filings (Second Report Submitted 5.10.16)
- Chapters 1-13. Secured Business Filings (Initial Report Submitted 4.10.18)
- Chapters 1-13. Secured Business Filings (Second Report Submitted 6.6.18)
- Chapter 1. Section 101. Uniform Statutory Form Power of Attorney for Military Personnel (Repeal) (Initial Report Submitted 6.8.17)
- Chapter 1. Section 101. Uniform Statutory Form Power of Attorney for Military Personnel (Repeal) (Second Report Submitted 8.9.17)
- Chapter 7. Foreign Corporations (Initial Report Submitted 9.9.15)
- Chapter 7. Foreign Corporations (Second Report Submitted 11.30.15)

Public Hearings Scheduled

Title 10. Financial Institutions, Consumer Credit, Investment Securities and UCC

Part XIX. Uniform Commercial Code (Amendments) (Agenda for Tuesday, May 27, 2014)

Title 19. Corporations and Business

Part V. Secretary of State

- Chapters 1-13. Secured Business Filings (Agenda for Tuesday, April 26, 2016)
- Chapters 1-13. Secured Business Filings (Agenda for Wednesday, May 30, 2018)
 Chapter 1. Section 101. Uniform Statutory Form Power of Attorney for Military Personnel (Repeal) (Agenda
- for Monday, July 24, 2017)
- Chapter 7. Foreign Corporations (Agenda for Tuesday, Oct. 27, 2015)

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VOTING	SERVICES	CERTIFICATIONS	RESOURCES	OFFICE
BUSINESS SERVICES		Schedule a Con	nmercial Appointment	_
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Create a Business License Chec	klist Effective Jan.	1, 2024, business owners ma	av be required to complete	a beneficial ownersh
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Service of Process	An important step i	n forming a new business is to determ	ine the type of business structure th	nat you will use. There are
Jniform Commercial Code		ructures to choose from, including sole		,
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Beneficial Ownership Reporti (Corporate Transparency Act)	ng cannot advise you	t decide which of these structures best on choosing a business structure. For h		
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	 Louisiana R. Louisiana Ci Louisiana R. Louisiana R. Louisiana R. Louisiana R. Louisiana R. Tor more information Visit geauxBIZ The Louisiana Secretory The Louisiana geauxBIZ legal activities necession of public pu	S. Title 12 Corporations and Associatio S. Title 3 Agriculture and Forestry vil Code 2801-2844 Partnerships S. Title 9 Civil Code-ancillaries S. Title 45 Public Utilities and Carriers S. Title 51 Trade and Commerce S. Title 51 Trade and Commerce S. Title 14:325 Public Opinion Polls on, See Frequently Asked Questions. etary of State, Louisiana Department o you to manage your Louisiana busines C portal. geauxBIZ can help you find re essary to start your business. You can a permits required for your business, to re ou want to do business in Louisiana, vi	of Revenue, and Louisiana Workforce ss filings and tax account registration sources to help plan, make key fina also use geauxBIZ to produce a list of eserve your new business name, an isit geauxBIZ to get started!	ns from one location—the ncial decisions, and comple of possible federal, state an d to complete your new
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Create a Business License Checklist

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+ File Business Documents	CREATE A I	CREATE A BUSINESS LICENSE CHECKLIST					
+ Service of Process	The business licer	nse checklist has been moved to the Louis	siana geauxBIZ portal. To create	a husiness license checklist			
+ Uniform Commercial Code		The business license checklist has been moved to the Louisiana geauxBIZ portal. To create a business license checklist, visit geauxBIZ, create an account or sign in, click Getting Started , and then select Produce a list of possible federal ,					
Small BIZ Protection	state and local	licenses and permits required for you	ır business.				
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Beneficial Ownership Reporting Corporate Transparency Act)			a true and correct copy of the record than one document filed by the same				
Contact Us	for the entity is \$25.						
	 The office of the Secretary of State also prepares certificates which reflect information filed of record with the office. The following is a list of the commonly requested certificates: Certificate of Good Standing for corporations and limited liability companies; Certificate of Existence for corporations, partnerships, trade names and limited liability companies; Certificate of Registry for Partnerships; and Certificate of No Record for corporations, partnerships and limited liability companies. 						
	In addition, certificate	es issued with filings after July 15, 2	hips and limited liability companies. 005, such as certificates of incorpora d by the Secretary of State as a 'dup				
	address, registered a		oration, organization, registration, comes and addresses of current officer tabase.	-			
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	The minimum fee for a query is \$25 for the first 40 entity records and 1 cent a record for any additional.						
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	customer waits for a	\$50 priority expedite fee or processe	ce of walk-in service. Documents ma ed in 24 hours for a \$30 expedite fee / the Secretary of State are available	e. All expedite fees are in			

delivered for processing on a non-expedited basis. The customer may leave a mailing address or a telephone number for notification when processing is complete.

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NewsLetter_20240625

NewsLetter_20240702

NewsLetter_20240709

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BUSINESS SERVICES			nmercial Appointment	
+ Start a Business	1	(related to commercial filings	only, we do not handle FinCEN f	ilings)
+ Search for Louisiana Business Filings		1, 2024, business owners ma		
- File Business Documents		FinCEN (Financial Crimes En ervices > File Business Documents	forcement Network) as re	1
File Original Documents	Home > Business Se	ervices > File Business Documents		Print
File Annual Report	FILE BUSINE	ESS DOCUMENTS		
File Amendments	The Secretary of Sta	ate is aware that many transactions in	the business and financial world	are time sensitive. To better
Register Public Opinion Polls	serve the public, Ge	eauxBiz.com provides online filings; for	ms for downloading; accepts filir	
Managed Service Providers	and fax; expedited	filings; online certificate validation and	a range of payment methods.	
Get Forms & Fee Schedule	Online Filing			
Frequently Asked Questions	The Commercial Div	vision offers online document filings fo	r all original filings, several amer	ndments and annual report filings
+ Service of Process	for all entity types.	Please visit our website as directed be	low:	
+ Uniform Commercial Code	File Original			
Small BIZ Protection	File AmendmFile Annual R			
Beneficial Ownership Reporting (Corporate Transparency Act)	Visit geauxBIZ			
Contact Us	to make it easy for Louisiana geauxBIZ legal activities nece local licenses and p	etary of State, Louisiana Department o you to manage your Louisiana busines portal. geauxBIZ can help you find re ssary to start your business. You can a ermits required for your business, to re ou want to do business in Louisiana, vi	ss filings and tax account registra sources to help plan, make key f also use geauxBIZ to produce a l eserve your new business name,	itions from one location—the inancial decisions, and complete ist of possible federal, state and

Walk-in Customer Service

Schedule An Appointment

The Secretary of State offers customers the convenience of walk-in service. Documents may be processed while the customer waits for a \$50 priority expedite fee or processed in 24 hours for a \$30 expedite fee. All expedite fees are in addition to the required filing fee. The forms furnished by the Secretary of State, and the publications which are compiled by the Secretary of State are available. Documents may be delivered for processing on a non-expedited basis. The customer may leave a mailing address or a telephone number for notification when processing is complete.

The office is at 8585 Archives Avenue, Baton Rouge, LA 70809 and is located in the building behind the Louisiana State Archives. Hours of operation are Monday through Friday from 8 a.m. to 4:30 p.m. Please try to arrive by 4 p.m. to give the employees enough time to complete the filing.

Fax Filing

The Commercial Division accepts facsimile filings for business entities. The original document must comply with the appropriate statutes, including signature requirements. A cover sheet or letter must be included containing the name and contact person, their phone number, the mailing address, and special instructions, if any. Fax filings for business entities should specify whether expedited handling is requested. The following fax number can be used for transmitting Business organization filings 225.932.5314.

Expedited Filing

The Secretary of State expedites the filing of business organization documents as well as the issuance of certified copies and certificates relating to those documents. Expedited service means that the documents are processed before other

documents received the same day.

Payment Methods

- · Check (personal or business) All checks should be made payable to the Secretary of State
- Money order
- Cash (Cash should not be sent in the mail)
- Credit Card (Visa, Master Card, Discover and American Express)*

*Credit card payments are subject to an additional statutory convenience fee of \$5

Document Compliance

Prior to submitting documents to the Secretary of State for filing, check that the following are complied with, if applicable. The document must meet all requirements:

• contain the correct entity name;

- be dated;
- have all the required signatures;
- be notarized (when required by statute);
- contain municipal street addresses, not post office box numbers (mailing purpose only);
- have the required adjustment in the corporate name such as Inc., Corp., Ltd., or Co., Incorporated, Corporation, Limited, Company;
- have the required adjustment in the Limited Liability Company name such as L.L.C., L.C. or Limited Liability Company;
- have the required adjustment in the partnership name such as Registered Limited Liability Partnership or L.L.P. when applicable;
- be accompanied by the required filing fee;
- be accompanied by the certificate of good standing or existence when qualifying a foreign corporation or Limited Liability Company;
- be accompanied by an affidavit of registered agent if a registered agent is being appointed;
- be accompanied by a description of the logo if a logo is attached to a trade name registration; and
- contain the notary number and printed or typed names of the notary and witnesses.

Before submitting articles of incorporation, articles of organization and trade names, a preliminary check of the name is advisable. Preliminary checks may be made by phone by calling 225.925.4704, by fax 225.932.5314, or by mail to P.O. Box 94125, Baton Rouge, LA 70804-9125. Reservation of names are available for a fee of \$25. The name may be reserved for a period of 60 days. Two 30 day extensions are available upon request.

Name Availability

Corporate, limited liability company, trade names, trademarks and service marks must be checked for availability. A preliminary check may be made by phone at 225.925.4704, by fax to 225.932.5314, or by mail to P.O. Box 94125, Baton Rouge, LA 70804.

Names can be reserved for 120 days by filing an application for reservation and the requisite \$25 filing fee with the Secretary of State. If you are reserving a trade mark or service mark, they must be reserved under a class number. The class numbers are listed on the back of the reservation application. The fee is \$25 per class.

Names checked for availability must be distinguishable from corporate, limited liability company and trade names already on file, or reserved, in the Secretary of State's office. In addition to checking names by various spellings (Cajun vs. Kajun), names are checked phonetically (excellent vs. x e lent). (Partnership names do not conflict and are not considered when checking name availability for the above listed entities. Trademarks and service marks are only conflicted with other trademarks and service marks registered in the same class.) No corporate or limited liability company name shall contain the phrase "doing business as" or the abbreviation "d/b/a". The names of revoked Louisiana corporations and limited liability companies are not available for a three year period after the date of revocation.

Louisiana Business Corporations

The name shall not imply that the corporation is an administrative agency of any parish or of this state or of the United States. The following are words which are prohibited from use in Louisiana business corporate names, except for bank holding companies: bank, banker, banking, savings, safe deposit, trust, trustee, building and/or loan, homestead, insurance, casualty, redevelopment corporation, electric cooperative, and credit union. No corporation shall use the word insurance in its name except for an independent insurance agency or brokerage.

Written waivers from two state boards are required for use of certain words in corporate names. If "engineer",
"engineering", "surveyor" or "surveying" will be part of the corporate name, a waiver must be obtained from the Louisiana
Professional Engineering & Land Surveying Board, 9643 Brookline Ave., Suite 121, Baton Rouge, LA 70809-1443,
225.925.6291. In order to include "architect," "architectural" or "architecture," a waiver must be obtained from the
Louisiana State Board of Architectural Examiners, 8017 Jefferson Highway, Suite B2, Baton Rouge, LA 70809,
225.925.4802. In order to include the form of the words "bank" or "trust," a waiver must be obtained from the Office of
Financial Institutions, P.O. Box 94095, Baton Rouge, LA 70804, 225.925.4548.

Louisiana Nonprofit Corporations

The corporate name shall not imply that the corporation is an administrative agency of any parish or of this state or of the United States. It shall not contain any of the following words: bank, banking, banker, savings, trust, deposit, insurance, mutual, assurance, indemnity, casualty, fiduciary, homestead, building and loan, surety, security, guarantee, cooperative, state, parish, redevelopment corporation, electric cooperative or credit union.

If you are forming a nonprofit corporation and intend to apply to the Internal Revenue Service for tax exempt status, additional information will be required in the articles of incorporation. Exemption information must be obtained from the Internal Revenue Service website or call toll free at 877.829.5500. If the required information is not included in the articles of incorporation the Internal Revenue Service will direct you to amend the articles. This office, as well as the Clerks of Court's offices, will require an additional fee to file an amendment to the articles of incorporation.

Foreign Business and Nonprofit Corporations

If the corporation applying for a Certificate of Authority contains the word "engineer", "engineering", "surveyor" or "surveying" in its name, a waiver must be obtained from the Louisiana Professional Engineering & Land Surveying Board, 9643 Brookline Ave., Suite 121, Baton Rouge, LA 70809-1443, 225.925.6291.

Louisiana Limited Liability Companies

The name shall not imply that the corporation is an administrative agency of any parish or of this state or of the United States. The LLC name shall not contain any word or phrase which indicates or implies that it is organized for any purpose not lawful for a limited liability company or contrary to its articles of organization. A limited liability company shall not be formed for the purpose of banking or insurance underwriting in all of their several forms or for the purpose of operating homesteads or building and loan associations.

Conversion of Business Entities

Business corporations, limited liability companies and general and limited partnerships can convert to another type of business entity by filing a conversion application with the Secretary of State. The requirements of the application vary according to the type of organizational form of the converted entity. A thorough reading of the statute is suggested (Louisiana R.S. 12:1601). Please call the Commercial Division for additional information at 225.925.4704.

Collection Agency Registration

Collection agencies that do business in Louisiana are registered with the Secretary of State. Registered Agencies will be listed alphabetically in the Commercial Database.

Trade Names, Trademarks and Service Marks

The laws governing registration of trade names, trademarks and service marks are extensive. A thorough reading of Louisiana Trade and Commerce Law is advisable.

Louisiana Professional Corporations

A business corporation may be formed in compliance with the laws governing several types of professions. The professional corporations generally are limited to their particular type of business, and may hold property for investment or in connection with their practice. The following professional corporations must contain the full or last name or names of one or more voting shareholders, former voting shareholders or members of a predecessor firm: law, dental, accounting, chiropractic, nursing and optometry. The following professional corporation names may comply with the above, or may consist of any name approved by the Secretary of State:

- medical
- architectural
- psychology
- veterinary
- architectural-engineering
- occupational therapy
- social work

With the exception of architectural-engineering, all professional corporation names must end with a "professional" ending.

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Certifications Contact Us

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ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORI RESOUR		OUR OFFICE
BUSINESS SERVICES		Schedule a Com (related to commercial filings of	mercial Appoin	n <mark>tment</mark> ndle FinCEN filings)	
Start a Business	_	(· · · · · · · · · · · · · · · · · · ·	<i>"</i>	5.7	
Search for Louisiana Business Filings		. 1, 2024, business owners ma			
File Business Documents		FinCEN (Financial Crimes Enf			-
File Original Documents	Home > Business S	Services > File Business Documents > Re	egister Public Opini	on Polis	
File Annual Report	REGISTER P	UBLIC OPINION POLLS			
File Amendments	In accordance with	Louisiana R.S. 14:325 conductors of pu	blic opinion polle a	ire required to file with t	the Secretary of Stat
Register Public Opinion Polls		erson, association, partnership or corpor			
Managed Service Providers		isiana; the current mailing address of th			
Get Forms & Fee Schedule		. It shall be signed by the principal exec			
Frequently Asked Questions	than February 15th	Poll Registration form must be filed price of each year.	or to beginning any	such activity and annua	ally thereafter no lat
Service of Process	,,				
Uniform Commercial Code					
Small BIZ Protection					
Beneficial Ownership Reporting					
(Corporate Transparency Act)					
(Corporate Transparency Act) Contact Us					
	G	NOTARY & CERTIFICATIONS Become a Louisiana Notary		OUR OFFICE Learn about Nancy La	

Managed Service Providers

SEC	CRETA	RY TE NANCY LANDR	Y CONTRACTOR	HOME QUICK LINE
ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICA RESOURCE	
BUSINESS SERVICES		Schedule a Commer		
Start a Business		(related to commercial filings only,	we do not handl	e FINCEN filings)
Search for Louisiana Business Filings		. 1, 2024, business owners may be FinCEN (Financial Crimes Enforce		
File Business Documents		Services > File Business Documents > Manag		
File Original Documents		-		
File Annual Report	REGISTER M	IANAGED SERVICE/MANAGE	ED SECURI	TY SERVICE PROVIDERS
File Amendments	Act 117 of the 202	0 Regular Louisiana Legislative Session enact	ted R.S. 51:2111	through 2116 which requires entities that
Register Public Opinion Polls	manage a public b	ody's information technology infrastructure o egister with the Secretary of State.		
Managed Service Providers	. ,	5 ,		
Get Forms & Fee Schedule		y 1, 2020, the Managed Service/Managed Ser eafter. The biennial renewal must be filed at		
Frequently Asked Questions	Changes to the rec	gistration can be made by filing an amended	registration, acco	ompanied by supporting documentation
Service of Process	(amendments to th	ne Articles of Incorporation, Articles of Organ nin 60 days of the effective date of the chang	ization, Articles of	
Uniform Commercial Code				
Small BIZ Protection	Email to LaFusion.		Islana Fusion Cer	iter using one of the following methods:
Beneficial Ownership Reporting (Corporate Transparency Act)		34-8007 or (225) 925-4192 p://la-safe.org under the Suspicious Activity s	section.	
Contact Us				
ELECTIONS & VOTING	ALCONT OF THE REAL OF THE	NOTARY & CERTIFICATIONS	Real States	OUR OFFICE
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ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES		OUR OFFICE
BUSINESS SERVICES		Elections Hotline: 800-			
Start a Business		DIVISION OF ELECTION	INTEGRITY: 800-72	22-5305	
Search for Louisiana Business Filings	Home > Business S	View your ervices > File Business Documents > Ge	sample ballot		
File Business Documents	FIOTILE > Dusitiess 5	ervices > The business Documents > Ge			
File Original Documents	GET FORMS	& FEE SCHEDULE			
File Annual Report	Effective January	/ 1, 2018, business owners in the fol	llowing parishes will be	required to	file all available
File Amendments	-	ents online through geauxBIZ: Ascer	•••		
Register Public Opinion Polls	Jefferson, Lafaye	ette, Livingston, Orleans, Ouachita, F	Rapides, St. Tammany, ⁻	Tangipahoa	and Terrebonne.
Managed Service Providers		ples contain the minimum requirements			
Get Forms & Fee Schedule		bstitute for the advice and services of an	, ,		
Frequently Asked Questions	-	d with each form or sample and follow th ping business in Louisiana, visit Frequent	,	formation abo	out the filing proces
Service of Process	Domestic Corpo	2 , ,			
		ration Louisiana Business		#399	\$75
Jniform Commercial Code		ation-Louisiana Nonprofit		#395	\$75 ¢25
Small BIZ Protection		ed Office or Agent-Louisiana Nonprofit ge-Louisiana Corporation		#354 #502	\$25 \$25
Beneficial Ownership Reporting Corporate Transparency Act)		s and/or Officers-Louisiana Corporation		#354A	\$25
Contact Us	Disclosure of Owner Name Reservation-	Corporation Corporation	L3C and Partnership	#320 #398	\$20 \$25
	Name Reservation	Transfer		#402	\$25
		of Termination-Louisiana Corporation e-Louisiana Nonprofit		#1441 #339	\$75 \$75
	Articles of Reinstate	ement		#1444	\$75
	Amended Articles, I Additional Certified	Mergers, Dissolutions, Certificate of Corre Copies	ection		\$75 \$15
	Certified Copies wit	th Amendments			\$25
	Additional Certificat Annual Report	tes			\$20 \$30
	Annual Report for N	•			\$10
	Name Reservation	Cancellation ed Liability Companies			\$25
		ation-Louisiana Limited Liability Company	1	#365	\$100
	-	ation-Louisiana Low-Profit Limited Liabilit ed Office or Agent-Louisiana Limited Liat		#1L3 #983	\$100 \$25
	Change of Member	s and/or Managers-Louisiana Limited Lia	bility Company	#983A	\$25
	Name Reservation-	Corporation, Limited Liability Company, I	L3C and Partnership	#398 #402	\$25 \$25
		al Report-Louisiana Limited Liability Comp	bany	#366	\$25
		e-Louisiana Limited Liability Company Mergers, Dissolutions, Certificate of Corre	action	#368	\$100 \$100
	Certified Copies	reigers, Dissolutions, Certificate of Corre	ection		\$15
	Certified Copies wit				\$25 #20
	Additional Certificat Annual Reports	les			\$20 \$30
	Name Reservation				\$25
	Domestic Partne Partnership Registre	-		#342	\$100
	Name Reservation-	Corporation, Limited Liability Company, I	L3C and Partnership	#398	\$25
	Name Reservation Amended Articles,	Transfer Mergers, Terminations		#402	\$25 \$100
	Additional Certified	Copies			\$2+\$1/pg
	Additional Certificat Annual Reports	tes			\$20 \$30

Foreign Corporations		\$25
Application of Foreign Corporation for Certificate of Authority	#326	\$125
Statement of Change-Foreign Corporation & Limited Liability Company	#346	\$25
Statement of Change of Directors and/or Officers-Foreign Corporation	#346A	\$25
Application to Withdraw-Foreign Corporation & Limited Liability Company	#338	\$125
Foreign Name Registration	#403	\$25
Certified Copies	# 105	\$15
Certified Copies with Amendments		\$15 \$25
Additional Certificates		
Additional Certificates		\$20 \$30
Foreign Limited Liability Companies		\$ <u>3</u> 0
Application of Foreign Limited Liability Company	#972	\$150
Statement of Change-Foreign Corporation & Limited Liability Company	#346	\$25
Application to Withdraw-Foreign Corporation & Limited Liability Company	#338	\$150
Statement of Change of Members and/or Managers-Foreign Limited Liability Company	#346B	\$25
Certified Copies	#3100	\$15
Certified Copies with Amendments		\$25
Additional Certificates		\$20
		\$20 \$30
Annual Reports		\$30
Foreign Partnerships	11044	#1F0
Partnership Registration-Foreign	#344	\$150
Partnership Termination-Foreign	#394	\$150
Amendments		\$150
Certified Copies		\$15
Additional Certificates		\$20
Annual Reports		\$30
Limited Liability Partnerships		
Limited Liability Partnership-Registration	#975	\$125
Amendments, Renewals, Mergers and Terminations		\$125
Certified Copies		\$2+\$1/p
Additional Certificates		\$20
Trade Names, Trademarks, Service Marks		'
Trade Name, Trademark & Service Mark-Registration	#309	\$75
Name Reservation-Trade Name, Trademark & Service Mark	#396	\$25
Trade Name, Trademark & Service Mark-Assignment	#397	\$75
Cancellation of Trade Name, Trademark & Service Mark		\$75
Certified Copies		\$15
Additional Certificates		\$20
Name Reservation Cancellation		\$25
Collection Agencies		ΨLU
Collection Agency Registration	#I1	\$25
Amendments and Terminations	#11	\$25
Certified Copies		\$15
Cable & Video Franchise		ΨIJ
Application for a State Cable & Video Franchise	#T100	¢60
	#T100	\$60
Renewals and Amendments		\$60
Additional Certified Copies		\$15
Additional Certificates		\$20
Home Service Contract Provider		
Home Service Contract Provider Application	#3143	\$600
Renewal		\$250
Certified Copy		\$15
Certificate of Information		\$20
Motor Vehicle Service Contract Provider		
Motor Vehicle Service Contract Provider Application	#3163	\$600
Renewal		\$250
Certified Copy		\$15
Certificate of Information		\$20
Structured Settlement Purchase Company		
Structured Settlement Purchase Company Registration	#2713	\$600
Renewal	27 13	\$250
Certified Copy		\$250 \$15
Certificate of Information		
		\$20
Managed Service/Managed Security Service Provider	110110	
Managed Service/Managed Security Service Provider Application	#2113	No Fee
Renewal		No Fee
Certified Copy		\$15
Certificate of Information		\$20
Special Handling Instructions		

Documents processed within 24 hours available for pick-up or mail. Referred to as "expedite."\$30Credit card payments are subject to an additional statutory convenience fee.\$5Electronic or physical checks returned by the bank as non-payable are subject to a return check fee.\$25

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Louisiana Secretary of State Nancy Landry

FREQUENTLY ASKED QUESTIONS

How do I incorporate my business?

If you know which type of business that you want to start (i.e. corporation, Limited Liability Company, partnership, trade name, etc.), you can find those forms on our website. You may also file online.

If you are unsure as to what type of business (i.e. corporation, Limited Liability Company, partnership, trade name, etc.), you may need to contact an attorney, as this office is unable to assist in that determination. You may also contact a local SCORE office or Counselors to America's Small Business office for assistance.

Where can I get advice about starting a business?

SCORE (Service Corps of Retired Executives) is a non-profit association that provides free business counseling. Louisiana Small Business Development Center (LSBDC) offers advice about business plans, grants, etc.

Can you check for name availability?

Yes. We will do a preliminary search for name availability. Please call our office for this information. You can also check name availability on our website. From the Homepage, you can access the Commercial Database. Type your proposed business name (without the corporate ending, i.e. L.L.C. or Inc.) and click search. A list of business names or similar business names will appear. If a domestic or foreign corporation/company has been revoked, the name will not be available until 3 years following the revocation date. Keep in mind that these are preliminary searches. There are many rules that govern name availability and a more thorough search will take place once you submit your documents for filing.

How do I reserve a name and is it necessary?

You can reserve a name by sending in a name reservation form by fax or by mail (with a check or money order). This will reserve your name for 120 days.

You would only need to reserve the name if you were waiting for an extended period of time to file your documents and/or if you were concerned that someone else may immediately file for the same name. In filing your Articles or Trade Name, the name becomes unavailable to others.

I am qualified in another state. What forms do I need to qualify in Louisiana?

If you are registered to do business in another state and wish to qualify here, you will need to submit either the Application of Foreign Corporation for Certificate of Authority or Application of Foreign Limited Liability Company for Certificate of Authority. Along with this form, and the fee, you will need to submit a certificate of good standing or existence from the Secretary of State of your home state. This certificate must be less than 90 days old. All of this documentation can be submitted via fax (with credit card information), mailed to this office (with check or money order) or filed online.

What is a Trade Name and/or what is the difference between a Trade Name and a Doing Business As (DBA)?

A trade name, by definition, is a word or group of words, name, symbol, device or any combination thereof used by a person to identify his business, vocation or occupation and distinguish it from the business, vocation or occupation of others. Trade names do conflict with limited liability companies and corporations. The applicant for a trade name can be a person, corporation, limited liability company or partnership. DBA's are not filed with this office. They are filed at the parish level by the local Clerk of Court's office. Any questions regarding DBA's can be addressed to the Parish Clerk of Court.

What is a registered agent and why do I need one?

All business registrations with the Secretary of State require, by law, an agent with a physical address in Louisiana. An agent, or as it is sometimes called, registered agent, can be almost anyone of legal age and a legal resident of Louisiana. An agent is someone that is designated to receive legal documents served on the business in the event of administrative or legal action. An agent has an obligation to forward the "legal documents" to the business. An agent's name and address are publically available and are displayed on the Secretary of State's website.

Individuals that can be designated to be an agent include, but are not limited to, an organizer of a business, a member of an LLC, an employee of the business, an attorney or an accountant.

Foreign businesses may need to employ a business that supplies agent services. Send us a message requesting a list of Service Companies registered in Louisiana.

How do I get a federal tax identification number?

Federal tax identification numbers are issued by the Internal Revenue Service.

How do I get a state sales tax identification number?

State sales tax identification numbers are issued by the Louisiana Department of Revenue.

How do I get a Certificate of Good Standing?

You can obtain certificates and/or certified copies online. There will be a code at the bottom of the certificate/certified copy which will allow you to print numerous certificates/certified copies (with the same date) for a period of 120 days.

You can also request certificates or certified copies by fax or mail (with check or money order).

Did you receive my fax?

The Commercial Division has several fax machines. It may take an hour or two to have the faxes hand delivered to the appropriate individual. The employees in telecommunications are unable to tell if a fax is received until it is entered into our computer system. Please allow adequate time for faxes to be delivered and entered into the computer before calling to check the status.

How do I add or delete someone from my company?

You may add or delete a registered agent by filing the Change of Registered Office or Agent form. You may add or delete a manager/member or officer/director by filing either the Notice of Change of Member/Manager form or the Notice of Change of Officer/Director form. If your annual report filing is due or past due, you may make these changes on your annual report filing. These documents can also be filed online.

How do I print an Annual Report form from your website?

From the Homepage, you can access the Commercial Database. From there, you will type in the business name (without the corporate ending, i.e. L.L.C. or Inc.) and click "search". A list of businesses will then appear. Click on the "details" button next to the name of your business. All of the information regarding your business will appear

on your screen. If the annual report is due to be filed (or up to 4 weeks prior to the due date), you will see a bar at the top of the page that says "Print Annual Report Form for Filing". You may also file the Annual Report online.

Do I have to send my Annual Report back even if there are no changes?

Yes. The yearly filing of an Annual Report is a requirement. Make sure the report is signed by the appropriate individual. It can then be returned to this office by fax or mail. The Annual Report can also be filed online.

I just received the revocation notice in the mail. What do I need to do?

If you have received a Notice of Intent to Revoke, you must submit a completed annual report to this office within 30 days of the date of the notice (or within 60 days if it is a foreign corporation/LLC). Failure to do so will result in the revocation of your charter. If the charter is revoked, a reinstatement form will be required and filing fees will be significantly higher than that of an annual report. You may also file your annual report online. You will then be prompted to enter your charter number and renewal ID (both of which can be obtained by calling our office).

I need to get copies of my original paperwork, how do I do this?

You can request certified or plain copies of documents in writing to this office either by fax by mail (with a check or money order) or online through our Commercial Database. See Get Forms & Fee Schedule for more information.

How do I dissolve my company?

If your corporation/company is no longer doing business, owes no debts, has no assets, and has no immovable property, it can be dissolved by Affidavit. The Affidavit to Dissolve form can be found in Get Forms & Fee Schedule or the Affidavit to Dissolve can be filed online.

If your corporation/company is no longer doing business but owes debts, has assets or has immovable property, a long form dissolution must be filed. Please consult an attorney or see our website for statutes pertaining to dissolution.

Do you have my tax ID number?

No. The Secretary of State's Office does not keep tax ID numbers. Please contact the Department of Revenue for tax ID numbers and any other tax related information.

How do I get to your building?

The physical address of our building is 8585 Archives Avenue. We are located behind the State Archives Building, which is on Essen Lane between I-10 and I-12.

Where should I send my documents?

All documents can be mailed to: Mailing address Louisiana Secretary of State Commercial Division P.O. Box 94125 Baton Rouge, LA 70804-9125

Physical Address Louisiana Secretary of State Commercial Division 8585 Archives Ave. Baton Rouge, LA 70809 If faxing:

Annual Reports and Reinstatements: 225.932.5312 Original Filings and Amendments: 225.932.5314 Certified Copy Orders: 225.932.5313 GeauxBiz.com: 225.932.5319 Name Reservations: 225.932.5314

How do I know what industry type my business is under?

You may view a list of business types for each industry.

How do I get a business license checklist if my business type is not listed in the drop down menu?

Select the industry your business would relate to and then select "Other" as the business type to produce a basic checklist for your industry.

What if I don't know where I plan on doing business?

A city and parish are required to produce the checklist. Some of the licenses included in our checklist are based on the location of your business.

Who is the agent for service of process for an insurance company?

The Louisiana Insurance Commissioner authorizes insurance companies to do business in the State of Louisiana. If the insurance company is domiciled in Louisiana, the Insurance Commissioner will have the name and address of the agent(s). If the insurance company is domiciled outside Louisiana, the Secretary of State is their agent. The telephone number for the Insurance Commissioner is 225.342.5900.

Why doesn't my checklist appear when I click the submit request button?

Your popup-blocker is preventing the business license checklist from opening. In Internet Explorer, click the yellow banner at the top of the page and select Always Allow Pop-ups from this site.

Service of Process

		RETA F STA	RY TE NANCY LANI	DRY	HOME QUICK LINKS					
		BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE					
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What is	Service of Process?	SERVICE OF	PROCESS							
How do	I Serve a Suit?	In accordance with Louisiana CCP 1261, et al, the Secretary of State is designated as the agent for service of process of								
Search	for Information About a Suit				ana R.S. 13:3474, for out of state					
Filing or Service	r Locating an Agent for	motorists involved in suits resulting from automobile accidents on Louisiana highways. The Legal Services Section of the Secretary of State's office receives legal process in such cases from state and federal courts, and forwards it to the person								
Frequently Asked Questions + Uniform Commercial Code Small BIZ Protection Beneficial Ownership Reporting (Corporate Transparency Act)		designated to receive same.								
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			ELECTIONS & VOTING		NOTARY & CERTIFICATIONS	OUR OF	TCE			
	Browse by Audience Register to Vote Vote Get Election Information Become a Candidate Find Public Officials Information for Public Officia Get Involved Review Administration & His Get Bond, Debt or Tax Costs Tack Forces & Study Croups	tory	Become a Louisiana Notary Prepare for the Notary Exam File Notary Documents Search for Louisiana Notaries Notary Education Provider Informa Become a RON Notary Certifications Contact Us HISTORICAL RESOURCES	Departmen Find Admi Obtain Pul tion End of Life Governme View Solic Address C Call Before	e Registries nt Entity Registries itations onfidentiality Program e You Dig ection Authorities					

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Task Forces & Study Groups

Get Election Forms & Brochures

Start a Business Search for Louisiana Business Filings File Business Documents Service of Process Uniform Commercial Code Small BIZ Protection Beneficial Ownership Reporting Contact Us

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	CRETA			HOME QUICK LIN		
ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE		
BUSINESS SERVICES			mercial Appointment			
Start a Business		(related to commercial filings	only, we do not handle FinCEN	l filings)		
Search for Louisiana Business Filings		n. 1, 2024, business owners ma	· · · · · · · · · · · · · · · · · · ·			
File Business Documents	report with FinCEN (Financial Crimes Enforcement Network) as required by federal lav Home > Business Services > Service of Process > What is Service of Process?					
Service of Process	Tiome > Dusiness	Services > Service of Frocess > What is	Service of Frocess:			
What is Service of Process?	WHAT IS SE	RVICE OF PROCESS?				
How do I Serve a Suit?	The Department of	of Chata is designated as the agent for as	mice of process on all foreign	ingurance companies, foreign		
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Filing or Locating an Agent for Service	home manufacturers; out-of-state motorists involved in suits growing out of automobile accidents on Louisiana high and watercraft operating in Louisiana waters.					
Frequently Asked Questions	The Legal Services Section checks, prepares, processes suits for mailing and maintains files in connection with the suits					
+ Uniform Commercial Code This section also main out by certified mail. This section also main out by certified mail.			ntains a file on the addresses of insurance companies for service. After processing, the suits are sent			
		. The services rendered to the public will be coordinated to meet the time elements prescribed by law.				
Beneficial Ownership Reporting		Forcess requires a 15 day response to the law suits sent from the Legal Services Section. We do not accept an any other state agency, board, etc., or a Louisiana resident. The on the State of Louisiana or another state agency, citation and petition may be obtained by citation and servic				
Beneficial Ownership Reporting (Corporate Transparency Act)						
	filed their notice of service may be ob Louisiana CCP 126 The Legal Service	es, citation and service may be obtained of service of process with the Secretary of ptained on the city, parish or district atto 61, et al allows the Secretary of State to s Section at 8585 Archives Ave., Baton R e. Service on any other employee of the S	of State's office. If no agent is f rney. designate someone on his beh Rouge, LA 70809 are the only a	iled with the Secretary of State, alf to accept service of process. ppointed designees by the		
ELECTIONS & VOTING	3	NOTARY & CERTIFICATIONS	S OUR OF	FICE		
Browse by Audience Register to Vote Vote Get Election Information Become a Candidate Find Public Officials Information for Public Officials Get Involved Review Administration & History Get Bond, Debt or Tax Costs Task Forces & Study Groups Get Election Forms & Brochures Contact Us BUSINESS SERVICES Start a Business Search for Louisiana Business Filings File Business Documents Service of Process Uniform Commercial Code		Become a Louisiana Notary Prepare for the Notary Exam File Notary Documents Search for Louisiana Notaries Notary Education Provider Informa Become a RON Notary Certifications Contact Us HISTORICAL RESOURCES Browse by Audience Learn about the Archives Explore Media Archives Research Historical Records Managing Records Visit Museums About Louisiana Contact Us	Departme Find Adm Obtain Pe Ind of Li Governm View Soli Address of Call Befo Flood Pro Contact U Make a P Litigation SOS Police	Confidentiality Program re You Dig otection Authorities Js ublic Records Request Disclosure Reports cy Against Sexual Harassment IFORMATION er		

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BUSINESS SERVICES			nmercial Appointment only, we do not handle FinCEN f	ilings)		
Start a Business			only, we do not handle fincen i	iiiiigs)		
Search for Louisiana Business Filings		Effective Jan. 1, 2024, business owners may be required to complete a beneficial ownership				
File Business Documents		report with FinCEN (Financial Crimes Enforcement Network) as required by federal law. Home > Business Services > Service of Process > How do I Serve a Suit?				
Service of Process		ERVE A SUIT?				
What is Service of Process?	HOW DO I SI	SKVE A SUIT?				
How do I Serve a Suit?	In Louisiana Sta	In Louisiana State Court				
Search for Information About a Suit	The citation must state "(Defendant's Name) through the Louisiana Secretary of State." Service of process filed in any Louisiana state court cannot be mailed directly to the Secretary of State. To effect proper service, service must be sent to the Sheriff of East Baton Rouge Parish along with a service fee of \$50 per service made payable to the Secretary of State.					
Service Frequently Asked Questions						
Uniform Commercial Code	-	Service of process exempt from paying any fees must be clearly marked. The address for the East Baton Rouge Sheriff's Office is P.O. Box 3277, Baton Rouge, LA 70821, telephone 225.389.4824. There is also a service fee to the Sheriff of East				
Small BIZ Protection	Baton Rouge Parish. The only exception on serving a Louisiana state suit personally on the Secretary of State is if the individual serving the documents provides the original and a copy of the order signed by the judge stating that "John Doe" is appointed as					
Beneficial Ownership Reporting (Corporate Transparency Act)						
Contact Us	special process server to serve the documents on the Secretary of State. The order must state the case number and caption. This individual must also provide a picture ID, such as a driver's license, for verification. In United States District Court					
	Service of process filed in any United States District Court can be either personal service or mailed dir of State, P.O. Box 94125, Baton Rouge, LA 70804-9125. Service of process exempt from paying any fa affidavit. If a stamped return of the summons is requested, please provide a self-addressed stamped fee of \$50 per service made payable to the Secretary of State must be included.			aying any fees, must attach an		
	In Louisiana Office of Workers' Compensation Court					
	Service of process filed in any Louisiana Office of Workers' Compensation Court must be forwarded to this office only by the Office of Workers Compensation. Service of process exempt from paying any fees, such as pauper cases, must attached an affidavit. A service fee of \$50 per service made payable to the Secretary of State must be included.					
ELECTIONS & VOTING		NOTARY & CERTIFICATIONS	S OUR OFF	ICE		
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Search for Information about a Suit

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ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE		
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+ Search for Louisiana Business Filings		1, 2024, business owners m				
+ File Business Documents		report with FinCEN (Financial Crimes Enforcement Network) as required by federal law. Home > Business Services > Service of Process > Search for Information about a Suit				
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What is Service of Process?	SEARCH FOR	R INFORMATION ABOUT	A SUIT			
How do I Serve a Suit?						
Search for Information About a Suit		ay be unavailable at times while per 20, 2024. We expect the maint	tenance to conclude by 7:00 A			
Filing or Locating an Agent for Service			he service is fully restored.			
Frequently Asked Questions	Search by Suit	t Number				
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		e sorted by the date the suit was recei	ived, with recent suits near the to	p of the page.		

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	Political subdivisions,	, board, commissions, etc. of the state ling fee of \$10. Make check payable t		process with the Secretar
	To obtain the name and address for an agent for service please contact our Legal Services Section at 225.925.4704 or send us a message.			
	Business Opport	unity Sellers & Agents		
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	 finding busine of vending ma represents that sold, made, p the supplies, s guarantees the the business of repurchase ar with the busine represents that which will ena business opport 	at the seller or an entity to which it w ess locations on premises neither own achines, racks, display cases, currency at the seller, or any entity to which it roduced, fabricated, assembled, grow services, or goods sold to the purchas the purchaser is to derive income f opportunity, or that the seller is to ref by of the products, equipment, supplie aess opportunity; or at for a fee exceeding three hundred able the purchaser to derive income fr ortunity. This provision shall not applin with the licensing of a registered tra	ed nor leased by the purchaser or sel y-operated amusement machines, or of will refer the purchaser, will purchaser in, bred, or modified by the purchaser iser by the seller; from the business opportunity which e und all or part of the price paid for the es, or goods supplied by the seller, if is dollars the seller will provide a sales p om the business opportunity which e y to the sale or lease of a sales plan of	ller for the use or operatio other similar devices; a any or all products to be r using in whole or in part exceeds the price paid for the business opportunity, o the purchaser is unsatisfie plan or marketing program xceeds the price paid for t
	sell only that one bu	does not include the sale of an ongo siness, and does not include the sale, rice of five hundred dollars or less.		
	See Louisiana R.S. 5	1:1821 form more information on Bus	iness opportunity sellers and agents.	
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Louisiana Secretary of State Nancy Landry

FREQUENTLY ASKED QUESTIONS

Who is the agent for service of process for an insurance company?

The Louisiana Insurance Commissioner authorizes insurance companies to do business in the State of Louisiana. If the insurance company is domiciled in Louisiana, the Insurance Commissioner will have the name and address of the agent(s). If the insurance company is domiciled outside Louisiana, the Secretary of State is their agent. The telephone number for the Insurance Commissioner is 225.342.5900.

Is there a service fee for serving the Secretary of State?

Yes, the service fee is \$50 per service. A check or money order made payable to the Secretary of State must be attached to the service.

Can anyone personally serve the Secretary of State with a law suit?

A law suit filed in a Louisiana state court must be served on the Secretary of State by the Sheriff of East Baton Rouge Parish or another sheriff or marshal from any of the 64 parishes. The sheriff must then make personal service on the Secretary of State at the Legal Services Sections, 8585 Archives Ave., Baton Rouge, LA 70809, telephone 225.925.4704. The only exception is when an individual is appointed by the judge for that particular law suit. He/she must produce the order signed by the judge and proper identification.

In a federal case, any individual can make personal service on the Secretary of State.

How many days do I have to answer before a default can be filed against me?

Louisiana Revised Statute 13:3204 Section 7 requires 30 days filing into the record of an affidavit to the effect that process was mailed.

What is considered the effective date of service on the defendant?

The effective date is the date that the Secretary of State is served.

Where do you get your mailing addresses for the defendants?

The addresses for the insurance companies are provided to this office either by the Louisiana Insurance Commissioner, the Insurance Almanac, the insurance company, or the person filing the document. Corporation addresses are obtained from the records of the Corporation Section or the person filing the documents. An outof-state motorist's address must be provided within the law suit.

What is my proof of service?

Your proof of service is the returned stamped citation or summons by the authorized person who made service on the Secretary of State. However, this office can provide a certificate of service for \$20 per service. This will provide the date of service, when and where it was forwarded and whether it was accepted or returned to this office.

How do I file a business opportunity?

Any business opportunity seller or agent must appoint the Secretary of State as his agent for service of process, prior to engaging in business in this state. The designation need only state that the company hereby appoints the Secretary of State as its agent for service of process, be signed by one officer of the company and enclose a \$15 fee per service (check made payable to the Secretary of State).

How do I serve a foreign corporation?

If the foreign corporation is registered with this office, you would serve the registered agent that is on file. If the corporation withdrew or is not registered, you would serve the Secretary of State.

How do I serve a domestic corporation?

Contact the Commercial Division at 225.925.4704 to get the registered agent. When the domicile is in one parish and the agent is in another parish, service is made through the corporation's address and sheriff of that parish. If the agent resigned or does not take service, the person requesting the service has the sheriff of the corporation's domicile parish to serve at the regular address and stamp the suit as served or unable to serve. After diligent effort, they can serve the documents through the Secretary of State with the sheriff's verification. This falls under Civil Code of Procedures 1262.

How do I go about survey soliciting?

This is the same as public opinion poll takers. Public Opinion Poll takers should file with the Secretary of State prior to February 15th of each year. There is a \$5 filing fee. To obtain a registration form, call 225.925.0404 or write the Legal Services Section, Secretary of State, P.O. Box 94125, Baton Rouge, LA 70804-9125.

Uniform Commercial Code

	HISTORICAL RESOURCES	OUR OFFICE
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NOTARY & CERTIFICATIONS	OUR OFFIC	E
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Beneficial Ownership Reporting

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What is Uniform Commercial Code?

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	CRETA	the second	RY	HOME QUICK LINKS
ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE
BUSINESS SERVICES		Schedule a Comm (related to commercial filings on	nercial Appointment	lings
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 Uniform Commercial Code 	WHAT IS UN	NIFORM COMMERCIAL COD)E?	
What is Uniform Commercial Code?	The Uniform Comr	nercial Code is a model statute covering th	hings such as the sale of goods	s, credit, bank transactions,
How do I File a Financing Statement?	conduct of busines	s, warranties, negotiable instruments, loa have adopted and adapted the entire UCC	ns secured by personal proper	ty and other commercial
How to Search for Financing Statements		.S. 10:9-101 and the Model Administrative		
Get Forms & Fee Schedule				
Frequently Asked Questions				
Small BIZ Protection				
Beneficial Ownership Reporting (Corporate Transparency Act)				
Contact Us				
ELECTIONS & VOTING	G	NOTARY & CERTIFICATIONS	OUR OFF:	ICE
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File Business Documents		Contact Us	Accessibility	/

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Service of Process Uniform Commercial Code Small BIZ Protection

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Beneficial Ownership Reporting

How do I File a Financing Statement?

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ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE		
BUSINESS SERVICES			mercial Appointment			
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What is Uniform Commercial Code?	In Louisiana, Unifo	rm Commercial Code filings are not filed	with the Secretary of State. U	CC-1 Financing Statements may		
How do I File a Financing Statement?	be filed with any Louisiana Parish Clerk of Court without regard to the debtor's address, location of the collateral or related immovable property. UCC-3 Amendments must be filed in the same filing office as the initial financing state					
How to Search for Financing Statements		t to the Secretary of State will be return				
Get Forms & Fee Schedule		tements and subsequent filings can also ary of State's website. Please note, attac				
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Contact Us						
ELECTIONS & VOTING	La constantina constanti	NOTARY & CERTIFICATIONS	OUR OFF	ICE		
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How to Search for Financing Statements

	CRETA DF STA	RY TE nancy lan	DRY	HOME QUICK LINKS	
ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE	
BUSINESS SERVICES			nmercial Appointment		
F Start a Business		(related to commercial filings	only, we do not handle FinCEN f	ilings)	
Search for Louisiana Business Filings		1, 2024, business owners ma			
File Business Documents		FinCEN (Financial Crimes En Services > Uniform Commercial Code >	,	and the second	
- Service of Process			now to Search for Financing Sta	rili Fili	
- Uniform Commercial Code	HOW TO SEA	ARCH FINANCING STATE	MENT'S		
What is Uniform Commercial Code?	A request for a cert	tified search of the UCC records must t	be submitted in writing to one of	the Clerk of Court offices. The	
How do I File a Financing Statement?	A request for a certified search of the UCC records must be submitted in writing to one of the Clerk of Court offices. The fee for the search is \$30 per debtor name. The Secretary of State offers subscriptions to the UCC database for an annual fee of \$400. The fee allows the subscriber unlimited usage. To subscribe to our Direct Access Service, mail a				
How to Search for Financing Statements		ion form and check to our office for pro			
Get Forms & Fee Schedule	Direct Access L	ogin (Legacy System only)			
Frequently Asked Questions					
Small BIZ Protection	User ID:				
Beneficial Ownership Reporting (Corporate Transparency Act)	Password:				
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How to Search for Financing Statements

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ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE		
BUSINESS SERVICES			mercial Appointment			
Start a Business		(related to commercial filings	only, we do not handle FinCEN fili	ngs)		
Search for Louisiana Business Filings		1, 2024, business owners ma				
File Business Documents	report with FinCEN (Financial Crimes Enforcement Network) as required by federal law. Home > Business Services > Uniform Commercial Code > Get Forms & Fee Schedule Pri					
Service of Process	Home > Business Se	ervices > Uniform Commercial Code >	Get Forms & Fee Schedule	Р		
Uniform Commercial Code	GET FORMS	& FEE SCHEDULE				
What is Uniform Commercial Code?		INDED: Reginning on July 1, 2022 th	on state of Louisiana will be accept	ing the new national LICC 1		
How do I File a Financing Statement?	UCC-1AD, UCC-1AP,	INDER: Beginning on July 1, 2023, th , UCC-3, UCC-3AD, UCC-3AP, and UCC				
How to Search for Financing Statements		n penalty will be accessed to any Natic				
Get Forms & Fee Schedule		s revised prior to 2010. If you have an UCC department at 225.925.4701.	y questions or concerns about this	s change, please call the		
Frequently Asked Questions		OCC department at 225.925.4701.				
Small BIZ Protection	UCC Forms					
Beneficial Ownership Reporting	Note: Current stand	dard forms are available below for you	r convenience.			
(Corporate Transparency Act) Contact Us	UCC-1 Financing Sta	atement (Louisiana Version)				
	UCC-1 Financing Sta	atement (National Version)				
	UCC-1AD Financing	Statement Addendum				
	UCC-1AP Financing	Statement Additional Parties				
	UCC-3 Financing Sta	atement Amendment (Louisiana Versio	n)			
	UCC-3 Financing Sta	atement Amendment (National Version)			
	UCC-3AD Financing Statement Amendment Addendum					
	UCC-3AP Financing Statement Amendment Additional Parties					
	UCC-5 Information		les			
	UCC-11 Information					
	Program. These are	g forms are to be used strictly for filing dual purpose forms. Completing these g from buyers of farm products.	•			
	UCC-1F Financing S					
		tatement Amendment				
	UCC Fee Schedu	le				
		must be made payable to the filing of ment. Do not send your filing or your f				
	UCC-1 (one debtor) Fees reflected below include a \$5 pre	epaid termination fee			
	Financing Statemen Financing Statemen Financing Statemen Financing Statemen	nt It with Assignment It relative to "as extracted collateral" or It (Transmitting Utility)	r fixture filing	\$30 \$35 \$40 \$205		
	Financing Statemen	t (Transmitting Utility and fixture filing))	\$215		

Financing Statement (Public Finance Transaction)	\$105
Financing Statement (Public Finance Transaction and fixture filing)	\$115
Attachments	\$2 each
Additional Debtor Names	\$10 each
Non-standard* Form Fee	\$15
*Anything other than the National Financing Statement forms or the Louisiana Financing S	Statement forms
UCC-3 (one debtor)	
Amendment	\$25
Continuation	\$25
Assignment	\$25
Master Assignment/Amendment	\$5 per
Termination (\$5 per debtor name paid at time of original filing)	N/A
Additional debtors named on original UCC-5	\$5 each
000-5	
Information Statement	\$25
UCC-11 Search	
UCC Certificate* (per debtor name)	\$30
Copies of documents	\$2 per page
Certification of copies	\$5 each
*Additional \$1 for each listing more than ten	
UCC-1F and UCC-3F Central Registry Filings	

UCC and Crop filing	\$35*
Crop filing	\$20*
Amendments, Continuations, Assignments	\$20*
*These are flat fees. No additional charges are assessed for additional debtors and/or attachments.	

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Louisiana Secretary of State Nancy Landry

FREQUENTLY ASKED QUESTIONS

Where do I file an UCC-1 original financing statement in your state?

In Louisiana, you may file an Original Financing Statement (UCC-1) with any of our 64 Parish filing offices. The Secretary of State's Office manages and maintains a statewide database and all 64 filing officers have access to this system. Regardless of where you choose to file your UCC-1 it is transmitted as a statewide effective filing.

How should I provide debtor information?

Be very careful when providing debtor information. The way it is provided by the filer is exactly how it will be indexed into the UCC statewide database and will affect search results. If you wish to index more than one debtor name be sure to provide the information as two separate debtors.

What information is required to make my UCC-1 legally sufficient?

Louisiana R.S. 10:9-502 provides the following: a) Sufficiency of financing statement:

- provide the name of the debtor
- provides the name of the secured party or a representative of the secured party
- indicates the collateral covered by the financing statement

b) Real-property-related financing statement:

- satisfy subsection (a)
- indicate that it covers this type of collateral
- provide a description of the real property to which the collateral is related sufficient to cause the mortgage to be effective against third persons if the description were contained in a mortgage of real property files for registry
- if the debtor does not have an interest of record in the real property, provide the name of a record owner against third persons if the description were contained in a mortgage of real property files for registry

What are reasons for rejection for a UCC-1?

Louisiana R.S. 10:9-516 provides many reasons for rejection of a UCC-1 financing statement; however, the 64 filing officers will consistently reject for:

- the record is not communicated by a method or medium of communication authorized by the filing office
- · an amount equal to or greater than the applicable filing fee is not tendered
- the record does not provide a name for the debtor
- the record does not provide a last name for the debtor
- the record does not provide a name for the secured party
- in the case of an assignment reflect in an initial financing statement, the record does not provide a name for the assignee

What are the fees for filing a UCC-1?

The filing fee for filing a UCC-1 is \$30. This is for one debtor and includes a \$5 termination fee which is paid at the time the original financing statement is filed. Additional fees include: \$10 for each additional debtor listed; and a \$15 nonstandard fee if you file on any form other than the Louisiana approved form and the National Approved UCC Form. Please see Get Forms & Fee Schedule for possible additional charges.

Can I use another state's form when filing?

The filing officers will accept filings on forms other than the Louisiana Approved Forms (UCC-1 and/or UCC-3) or the National Approved Forms; however, an additional \$15 nonstandard fee will be assessed.

I received a Confirmation of Filing. What is it and what should I do with it?

This confirmation of filing which is generated by the Secretary of State's Office allows the secured party to see the information that was actually entered by the 64 parish filing officers into the statewide database. You should carefully check the information for accuracy and contact the parish where you presented your filing if there is a discrepancy.

Where do I file a subsequent filing in your state?

Louisiana law provides that a UCC-3 subsequent filing shall be filed in the parish where the original financing statement to which it relates was filed. The parish of filing can be determined by the two digits preceding the file number.

What are the filing fees for a UCC-3?

The basic filing fee for a UCC-3 is \$25, with the exception of a termination filing which is \$5 and prepaid at the time the original is filed. Please see Get Forms & Fee Schedule for possible additional charges.

What are the reasons for rejection of a UCC-3?

- does not identify the initial financing statement to which it relates
- identifies an initial financing statement for which effectiveness has lapsed
- in the case of a continuation, failure to file within the six-month window prior to lapse in the case of an assignment, failure to provide a name for the assignee
- in the case of an amendment which adds an individual debtor, failure to provide the last name for the debtor
- in the case of an amendment adding a new secured party, failure to provide a name for the secured party
- not presented for filing in the parish where the original financing statement was filed

How do I file a release?

Revised Article 9 provides for the filing of an amendment of collateral. If you are using the National Standard Financing Statement Form or the newly revised Louisiana Approved Form, you would check Box 8. Amendment (Collateral Change) and complete the description of the collateral.

How do I conduct a lien search in your state?

You must contact any of the 64 Parish filing offices. They have access into the statewide UCC database and will reflect statewide lien information for the debtor. The Secretary of State's Office does not provide searches.

What can I expect from my search?

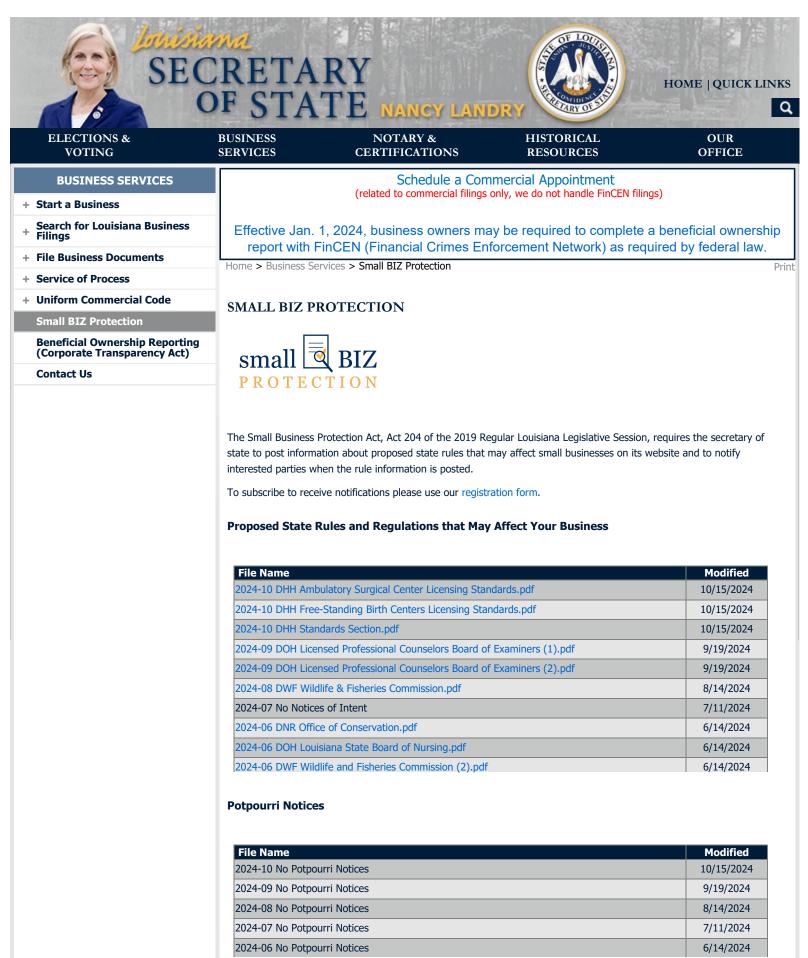
Louisiana has adopted the standard search logic as recommended by the International Association of Corporate Administrators (IACA). Search requests on organizational debtor names will reveal liens for an exact debtor name match only. Search requests for individual debtors will reveal liens for:

- an exact debtor name match
- in the case of initials in either the first name and/or middle name fields, a match with any debtor name that begins with that initial or where no name is given in that field

When Louisiana first adopted the Uniform Commercial Code in 1990, the law required that a taxpayer identification number be included for the debtor. Revised Article 9 with an implementation date of July 1, 2001 does not provide for the taxpayer identification number; however, when searching the UCC database, particularly on individual debtors, it may be helpful to use the taxpayer identification number as an additional identifier for your debtor (please remember that only those filings filed prior to 7/1/01 will be reflected). If you choose to search using the taxpayer identification with the debtor name your results will be as follows:

- that exact debtor name where no taxpayer identification number was provided on the original filing;
- that exact taxpayer identification number regardless of debtor name; and
- exact debtor name and taxpayer identification number.

Small BIZ Protection



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Beneficial Ownership Reporting (Corporate Transparency Act)

ELECTIONS & VOTING	BUSINESS SERVICES	NOTARY & CERTIFICATIONS	HISTORICAL RESOURCES	OUR OFFICE	
BUSINESS SERVICES			nmercial Appointment only, we do not handle FinCEN filin		
Start a Business	_	Control to commercial milligs		رمن.	
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Small BIZ Protection Beneficial Ownership Reporting (Corporate Transparency Act) Contact Us	Authorization Act. Th companies, and othe	parency Act (CTA) was enacted by Co e CTA establishes a beneficial owner r similar entities formed or registered with the Financial Crimes Enforceme	ship reporting requirement for corp d to do business in the United States	orations, limited liability s. The beneficial owners	
		deral requirement, not a Louisiana re poi. Any further questions about repo			
	Note: Beware of potential scam websites and mailers!				
	business owners to e communication chann through search engin fee associated with th	rement is likely to result in scam web enter their beneficial ownership inform nels, such as social media, email, and ne optimization methods, leading to r his filing. When reviewing suspicious I indicate that the mailer or website is	nation into a scam website. They m d text messaging. Search results are nalicious sites appearing in top posi mailers or websites, beware of the	ay lure users through man e sometimes manipulated tions. Remember, there phrase "acting as a paid	
	are often set up to sp addresses. For examp	s should not report beneficial owner poof a legitimate site. This is done by ple, instead of IRS.gov, a spoof site r o to the online filing system on Unite k FinCEN.gov.	y using a domain name that looks of might use IRS.com or IRS.org. Whe	r sounds like legitimate s n submitting the report t	
	When does the	e CTA take effect?			
	When is the in	itial beneficial ownership inform	nation (BOI) report required to	be filed with FinCEN?	
	Who is require	ed to report beneficial ownership	o information ("BOI")?		
		t from reporting requirements?	-		
	A "large opera operating com	iting company" is exempt from repany"?	eporting requirements. What is	considered a "large	
	exemption or a	entity is exempt from reporting a new nonprofit entity does not Ig with the secretary of state or s	receive the tax-exempt status f	tity loses its tax- from the IRS within 90	
	What informat	tion must be reported to FinCEN	?		
	What is an acc	ceptable identification document	?		
	► Who is a bene	ficial owner of a reporting comp	any?		
	Are there any	exceptions to the definition of "I	beneficial owner?"		
	▶ Who is a comp	oany applicant of a reporting con	npany?		
	Is there a feet	for submitting the beneficial ow	nership report to FinCEN?		

Who will have access to reported beneficial ownership information and for what purpose?

Is a reporting company required to update information with FinCEN if beneficial ownership information changes?

- Is a reporting company required to notify FinCEN if information on a BOI report was inaccurate?
- If a reporting company files an initial report, but subsequently becomes eligible for an exemption do they have to notify FinCEN?
- Is this an annual reporting requirement?
- What is a FinCEN Identifier? How can a FinCEN Identifier be obtained?
- How does an individual update or correct information from the FinCEN Identifier application?
- How does a reporting company update or correct information from the FinCEN Identifier application?
- Will evidence be provided when a report is filed?
- What happens if a reporting company fails to report beneficial ownership information?
- Where can I get more information on this topic?
- Who do I contact if I have additional questions?

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